

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 19730-AG20-1019-192

IN THE MATTER OF:)

Eric R. Broughton)
1452 W. Cumberland Gap Pkwy,)
Corbin, KY 40701)

Respondent.)

Type of Action: Enforcement)

License Number: 3425854)

FILED

OCT 29 2020

STATE OF INDIANA
DEPT. OF INSURANCE

FINDINGS OF FACT AND SUSPENSION ORDER

WHEREAS Eric Ryan Broughton ("Respondent") is a nonresident insurance producer holding license number 3425854 since March 29, 2019;

WHEREAS, Indiana Code § 27-1-15.6-12(g) states, in part, that a licensed producer shall furnish the Commissioner with a full and complete report listing each insurer with which the licensee has held an appointment during the year preceding the request, within ten (10) days of receiving a request;

WHEREAS, on August 25, 2020, the Commissioner of the Indiana Department of Insurance ("Commissioner") mailed Respondent a letter requesting a list of appointments via USPS First Class Mail to Respondent's address of record;

WHEREAS, this letter was not returned to the Commissioner as "undeliverable" or otherwise;

WHEREAS, on September 21, 2020, the Commissioner mailed Respondent a certified mail article #7019 2280 0001 5568 7601 requesting a list of appointments to Respondent's address of record;

WHEREAS, certified mail article #7019 2280 0001 5568 7601 was delivered to Respondent by USPS on October 5, 2020;

WHEREAS, Respondent has failed to provide a list of appointments within ten (10) days of receiving the Commissioner's request;

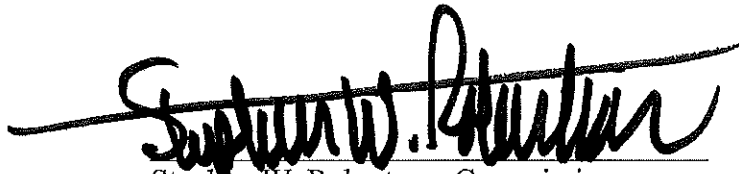
WHEREAS, Respondent's failure to provide the list of appointments within ten (10) days constitutes a violation of Indiana Code § 27-1-15.6-12(g); and

WHEREAS, Indiana Code § 27-1-15.6-12(h) provides that the Commissioner may, without a hearing and in his sole discretion, suspend any insurance license held by the licensee in the event that the licensee fails to remit the list of appointments within ten (10) days of receiving the request.

IT IS THEREFORE ORDERED BY THE COMMISSIONER that Respondent's license #3425854 is hereby suspended, effective immediately, and will remain suspended until Respondent submits the list of appointments requested by the Commissioner.

10-29-2020

Date Signed



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution to:

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